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13 14	Counsel for Defendants FORTRESS INVESTMENT GROUP LLC, FORTRESS CREDIT CO. LLC, VLSI TECHNOLOGY LLC	
15	Additional counsel listed on signature page	
16	UNITED STATES DISTRICT COURT	
17	NORTHERN DISTR	ICT OF CALIFORNIA
18		
19	INTEL CORPORATION and APPLE INC.,	Case No. 3:19-cv-07651-EMC
20	Plaintiffs,	DEFENDANTS' REQUEST FOR
21	v.	JUDICIAL NOTICE IN SUPPORT OF THEIR JOINT MOTION TO DISMISS AND STRIKE PLAINTIFFS' SECOND
22	FORTRESS INVESTMENT GROUP LLC, FORTRESS CREDIT CO. LLC, UNILOC	AMENDED COMPLAINT
23	2017 LLC, UNILOC USA, INC., UNILOC LUXEMBOURG S.A.R.L., VLSI	Hon. Edward M. Chen
24 25	TECHNOLOGY LLC, INVT SPE LLC, INVENTERGY GLOBAL, INC., and IXI IP, LLC,	
26	Defendants.	
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Defendants Fortress Investment Group LLC ("Fortress), Fortress Credit Co. LLC ("Fortress Credit"), Uniloc 2017 LLC ("Uniloc 2017"), Uniloc USA, Inc. ("Uniloc USA"), Uniloc Luxembourg S.a.r.l. ("Uniloc Luxembourg"), VLSI Technology LLC ("VLSI"), INVT SPE LLC ("INVT"), Inventergy Global, Inc. ("Inventergy"), and IXI IP, LLC ("IXI" and collectively, "Defendants") have moved to dismiss and to strike the Second Amended Complaint ("SAC") of Plaintiffs Apple Inc. ("Apple") and Intel Corporation ("Intel" and collectively, "Plaintiffs"). In support of their Motion, Defendants request that the Court take judicial notice of certain public records maintained by the United States Patent and Trademark Office ("USPTO"), various court and administrative decisions, and other documents incorporated by reference into the SAC. **ARGUMENT** In ruling on a motion to dismiss under Rule 12(b)(6), "courts must consider . . . matters of which a court may take judicial notice." Tellabs, Inc. v. Makor Issues & Rights, Ltd., 551 U.S. 308, 322 (2007). A fact is judicially noticeable if it is "not subject to reasonable dispute." Khoja v. Orexigen Therapeutics, Inc., 899 F.3d 988, 999 (9th Cir. 2018) (quoting Fed. R. Evid. 201(b)). Here, Defendants seek judicial notice of three categories of documents: (1) publicly available records of the USPTO; (2) administrative decisions in the allegedly "meritless" infringement actions; and (3) Intel's filing in another matter. Additionally, "courts must consider the complaint in its entirety," including "documents incorporated into the complaint by reference." Tellabs, 551 U.S. at 322. "[I]ncorporation-by-

Additionally, "courts must consider the complaint in its entirety," including "documents incorporated into the complaint by reference." *Tellabs*, 551 U.S. at 322. "[I]ncorporation-by-reference is a judicially created doctrine that treats certain documents as though they are part of the complaint itself." *Khoja*, 899 F.3d at 1002. Documents relied on by a complaint can be incorporated by reference even when the complaint "does not attach the document itself." *TSI USA LLC v. Uber Techs., Inc.*, No. 17-CV-03536-HSG, 2018 WL 4638726, at *2 n.3 (N.D. Cal. Sept. 25, 2018). Defendants seek to incorporate by reference certain documents on which Plaintiffs' complaint relies.

I. THE RECORDS OF THE USPTO ARE JUDICIALLY NOTICEABLE

Records maintained by the USPTO are judicially noticeable because these records are publicly available and "not subject to reasonable dispute." *Balance Studio, Inc. v. Cybernet Ent.*,

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1	<i>LLC</i> , No. 15-CV-04038-DMR, 2016 WL 1559745, at *1, n.3 (N.D. Cal. Apr. 18, 2016) (taking		
2	notice of USPTO records); see also Khoja, 899 F.3d at 1001 (noting that courts can take notice of		
3	"patent application[s]"); Klang v. Pflueger, 2014 WL 4922401, at *1 (C.D. Cal. July 10, 2014)		
4	(taking judicial notice of USPTO patent assignment records and the patents at issue "because they		
5	are public records"). This Court previously took notice of, and relied on, certain USPTO records		
6	in its Order Dismissing Plaintiffs' Original Complaint. See Dkt. 187 (herein, "1st Order") at 14:9		
7	23. Moreover, Plaintiffs did not oppose Defendants' prior request to take notice of these		
8	materials.		
9	Defendants seek judicial notice of two categories of USPTO records.		
0	First, Defendants seek judicial notice of 20 patents on which the SAC relies:		
1	• U.S Patent No. 7,653,508, an alleged complement patent in the "Generating Alerts		
12	Based on Blood Oxygen Level" market. See SAC Ex. A at 11-13. The word		
13	"oxygen" does not appear anywhere in the patent. See Ex. 1.1		
4	• U.S Patent No. 7,690,556, an alleged complement patent in the "Generating Alerts		
15	Based on Blood Oxygen Level" market. See SAC Ex. A at 11-13. The word		
16	"oxygen" does not appear anywhere in the patent. See Ex. 2.		
17	• U.S Patent No. 7,881,902, an alleged complement patent in the "Generating Alerts		
8	Based on Blood Oxygen Level" market. See SAC Ex. A at 11-13. The word		
9	"oxygen" does not appear anywhere in the patent. See Ex. 3.		
20	• U.S Patent No. 8,712,723, an alleged complement patent in the "Generating Alerts		
21	Based on Blood Oxygen Level" market. See SAC Ex. A at 11-13. The word		
22	"oxygen" does not appear anywhere in the patent. See Ex. 4.		
23	• U.S Patent No. 8,872,646, an alleged complement patent in the "Generating Alerts		
24	Based on Blood Oxygen Level" market. See SAC Ex. A at 11-13. The word		
25	"oxygen" does not appear anywhere in the patent. See Ex. 5.		
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	1 Exhibits 1.22 are exhibits to the Declaration of Lucas S. Ovenford, filed concurrently. Exhibits		

¹ Exhibits 1-23 are exhibits to the Declaration of Lucas S. Oxenford, filed concurrently. Exhibits 24-25 are exhibits to the Declaration of Christopher A. Seidl, also filed concurrently.

- U.S Patent No. 9,712,986, an alleged substitute patent in the "Mobile Device-to-Device Communication Through Network-Coupled Intermediary Device" market. See SAC Ex. A at 3-5. The patent is directed at helping service providers who do not have their own cellular service network (such as mobile virtual network operators who buy bandwidth from national carriers). See Ex. 6.
- U.S Patent No. 7,299,008, an alleged substitute patent in the "Mobile Device-to-Device Communication Through Network-Coupled Intermediary Device" market. See SAC Ex. A at 3-5. The patent is directed at allowing multiple phones to connect to a "hands free car kit" via Bluetooth or Wi-Fi. See Ex. 7.
- U.S Patent No. 7,523,331, an alleged complement patent in the "Preventing Stalls for Cache Misses" market. *See* SAC Ex. A at 5-7. The patent is direct at operating an apparatus in a low power mode to minimize power consumption. *See* Ex. 8.
- U.S Patent No. 7,434,009, an alleged substitute patent in the "Preventing Stalls for Cache Misses" market. *See* SAC Ex. A at 5-7. The patent relates to writing data to a cache memory in "bursts" in order to handle multiple cache misses. *See* Ex. 9.
- U.S Patent No. 6,058,437, an alleged substitute patent in the "Preventing Stalls for Cache Misses" market. *See* SAC Ex. A at 5-7. The patent relates to the use of a "Translation Lookaside Buffer" that keeps track of data location in a shared memory and increases the efficiency of the cache. *See* Ex. 10. The patent is expired, as the issue date (May 2, 2000) was over 20 years ago. *See id*.
- U.S Patent No. 6,856,616, an alleged substitute patent in the "Third-party Device Authorization Through Limitation of Information Exchanged" market. *See* SAC Ex. A at 8-11. The patent "provides a way for users to make brand new telephones usable without having to wait for days while the telephone company programs an account." *See* Ex. 11.
- U.S Patent No. 9,286,466, an alleged complement patent in the "Third-party
 Device Authorization Through Limitation of Information Exchanged" market. See
 SAC Ex. A at 8-11. The patent describes a system where, instead of a user entering

a password to access a computer, the computer connects with an external electronic device (such as a cellphone, printer, or digital camera) which provides a "digital skeleton key" to authenticate the user and grant access to the computer. *See* Ex. 12.

- U.S Patent No. 7,606,983, an alleged substitute patent in the "Arbitrating Multiple Requests to Access a Memory Bus" market. *See* SAC Ex. A at 7-8. The patent is a specific method for determining when memory requests can be sent in different orders than when they were received. *See* Ex. 13 ("The controller receives the access requests from each processor, determines a performance order for the requests, and provides the access requests to the memory in the performance order.").
- U.S Patent No. 5,659,687, an alleged substitute patent in the "Arbitrating Multiple Requests to Access a Memory Bus" market. *See* SAC Ex. A at 7-8. The patent is focused on ensuring that requests are accepted in the exact same order in which they arrived. *See* Ex. 14 ("The queues are multi-staged in order to sequentially accept the memory request from the network..."). The patent is expired, as the issue date (August 19, 1997) was over 20 years ago. *See id*.
- U.S Patent No. 8,769,296, an alleged substitute patent in the "Remote Enabling and Disabling of Software Components" market. See SAC Ex. A at 15-16. The patent is directed to detecting and preventing the spread of cracked computer software, which results from a hacker disabling copyright protection features. See Ex. 15.
- U.S Patent No. 5,579,222, an alleged substitute patent in the "Remote Enabling and Disabling of Software Components" market. *See* SAC Ex. A at 15-16. The patent is directed to a concurrent licensing system which is where an entity purchases a license to a product with a limitation on the number of simultaneous users. *See* Ex. 16. The patent is expired. It was filed on December 14, 1992 and issued on November 26, 1996. See *id*. Because the application was filed prior to June 8, 1995, the patent had a 17-year term, starting from the issue date, and expired on November 26, 2013. *See* MPEP § 2701; 35 U.S.C. 154.

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² http://patft.uspto.gov/netahtml/PTO/search-adv.htm.

1	43, 47, 108, 114, 126, 443-44. Accordingly, Defendants seek judicial notice of the following
2	decisions issued by the PTAB either denying Plaintiffs' request for inter partes review on
3	Defendants' patents or upholding the validity of the claims in a Final Written Decision:
4	• Apple Inc. et al v. IXI IP, LLC, No. IPR2015-01442 (P.T.A.B. Dec. 30, 2015);
5	• Apple Inc. v. Uniloc Luxembourg S.A, et al., No. IPR2017-00220 (P.T.A.B. May 25, 2017);
6 7	• Apple Inc. v. Uniloc Luxembourg S.A, et al., No. IPR2017-00223 (P.T.A.B. May 25, 2017);
8	• Apple Inc. v. Uniloc Luxembourg S.A, et al., No. IPR2017-00224 (P.T.A.B. May 25, 2017);
9	• Apple Inc. et al. v. Uniloc Luxembourg S.A, et al., No. IPR2017-00225 (P.T.A.B. May 23, 2018);
11	• Apple Inc. v. Uniloc Luxembourg S.A., No. IPR2017-01804 (P.T.A.B. Jan. 19, 2018);
12	• Apple Inc. v. Uniloc Luxembourg S.A., No. IPR2017-01805 (P.T.A.B. Jan. 19, 2018);
13	• Apple Inc. v. Uniloc Luxembourg S.A., No. IPR2017-01914 (P.T.A.B. Mar. 1, 2018);
14	• Apple Inc. v. Uniloc 2017 LLC et al., No. IPR2017-01993 (P.T.A.B. Mar. 6, 2019);
15	• Apple Inc. v. Uniloc Luxembourg S.A., No. IPR2017-02041 (P.T.A.B. Mar. 8, 2018);
16	• Apple Inc. v. Uniloc Luxembourg S.A., No. IPR2017-02202 (P.T.A.B. May 1, 2018);
17	• Apple Inc. v. Uniloc Luxembourg S.A., No. IPR2018-00420 (P.T.A.B. Aug. 6, 2018);
18	• Apple Inc. v. Uniloc Luxembourg S.A., No. IPR2018-01026 (P.T.A.B. Oct. 18, 2018);
19	• Apple Inc. v. Uniloc Luxembourg S.A., No. IPR2018-01027 (P.T.A.B. Oct. 18, 2018);
20	 Intel Corporation v. VLSI Technology LLC, No. IPR2018-01038 (P.T.A.B. Dec. 4, 2018);
2122	 Intel Corporation v. VLSI Technology LLC, No. IPR2018-01040 (P.T.A.B. Feb. 12, 2020);
23	 Intel Corporation v. VLSI Technology LLC, No. IPR2018-01107 (P.T.A.B. Feb. 10, 2020);
2425	 Intel Corporation v. VLSI Technology LLC, No. IPR2018-01296 (P.T.A.B. Apr. 11, 2019);
26	• Intel Corporation v. VLSI Technology LLC, No. IPR2018-01312 (P.T.A.B. Feb. 19, 2020);
27	• Apple Inc. et al. v. INVT SPE LLC, No. IPR2018-01473 (P.T.A.B. Mar. 25, 2020);

1 Apple Inc. et al. v. INVT SPE LLC, No. IPR2018-01477 (P.T.A.B. Mar. 7, 2019); 2 Apple Inc. et al. v. INVT SPE LLC, No. IPR2018-01478 (P.T.A.B. Feb. 19, 2019); 3 Apple Inc. et al. v. INVT SPE LLC, No. IPR2018-01555 (P.T.A.B. Feb. 28, 2020); Apple Inc. et al. v. INVT SPE LLC, No. IPR2018-01581 (P.T.A.B. Feb. 28, 2020); 4 5 Intel Corporation v. VLSI Technology LLC, No. IPR2018-01661 (P.T.A.B. Feb. 26, 2020); 6 Intel Corporation v. VLSI Technology LLC, No. IPR2019-00034 (P.T.A.B. Apr. 11, 7 2019); 8 Apple Inc. v. Uniloc 2017 LLC, No. IPR2019-00056 (P.T.A.B Apr. 29, 2019); 9 Apple Inc. v. IXI IP, LLC, No. IPR2019-00124 (P.T.A.B June 3, 2019); 10 Apple Inc. v. IXI IP, LLC, No. IPR2019-00125 (P.T.A.B June 3, 2019); 11 Apple Inc. v. IXI IP, LLC, No. IPR2019-00139 (P.T.A.B June 3, 2019); 12 Apple Inc. v. IXI IP, LLC, No. IPR2019-00140 (P.T.A.B June 3, 2019); 13 Apple Inc. v. IXI IP, LLC, No. IPR2019-00141 (P.T.A.B June 3, 2019); 14 Apple Inc. v. IXI IP, LLC, No. IPR2019-00181 (P.T.A.B June 3, 2019); 15 Apple Inc. et al. v. Uniloc 2017 LLC, No. IPR2019-00220 (P.T.A.B May 9, 2019); 16 Apple Inc. v. Uniloc 2017 LLC, No. IPR2019-00258 (P.T.A.B. July 2, 2019); 17 Apple Inc. v. Uniloc 2017 LLC, No. IPR2019-00259 (P.T.A.B. June 27, 2019); 18 Apple Inc. v. Uniloc 2017 LLC, No. IPR2019-00753 (P.T.A.B. Sept. 16, 2019); Intel Corporation v. VLSI Technology LLC, No. IPR2019-01196 (P.T.A.B. Jan. 7, 2020); 19 20 Intel Corporation v. VLSI Technology LLC, No. IPR2019-00106 (P.T.A.B. May 5, 2020); 21 Intel Corporation v. VLSI Technology LLC, No. IPR2019-00112 (P.T.A.B. May 19, 22 2020); 23 Intel Corporation v. VLSI Technology LLC, No. IPR2019-00113 (P.T.A.B. May 19, 2020); 24 Intel Corporation v. VLSI Technology LLC, No. IPR2019-00114 (P.T.A.B. May 19, 25 2020); 26 Intel Corporation v. VLSI Technology LLC, No. IPR2019-00141 (P.T.A.B. June 4, 2020); 27

2020);

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Intel Corporation v. VLSI Technology LLC, No. IPR2019-00142 (P.T.A.B. June 4,

1	 Intel Corporation v. VLSI Technology LLC, No. IPR2019-00158 (P.T.A.B. May 19, 2020); 		
2	• Apple Inc., v. Seven Networks, LLC, No. IPR2020-00189 (P.T.A.B. June 11, 2020);		
3	• Apple Inc., v. Seven Networks, LLC, No. IPR2020-00281 (P.T.A.B. Aug. 14, 2020);		
4	• Apple Inc., v. Seven Networks, LLC, No. IPR2020-00425 (P.T.A.B. Sept. 1, 2020);		
56	• Intel Corporation v. VLSI Technology LLC, No. IPR2020-00498 (P.T.A.B. Aug. 19, 2020);		
7	• Apple, Inc. v. Seven Networks, LLC, No. IPR2020-00507 (P.T.A.B. Sept. 1, 2020);		
8	• Intel Corporation v. VLSI Technology LLC, No. IPR2020-00526 (P.T.A.B. Aug. 18, 2020);		
9	• Intel Corporation v. VLSI Technology LLC, No. IPR2020-00498 (P.T.A.B. Aug. 18, 2020);		
11	• Intel Corporation v. VLSI Technology LLC, No. IPR2020-00582 (P.T.A.B. Oct. 1, 2020);		
12	• Intel Corporation v. VLSI Technology LLC, No. IPR2020-00583 (P.T.A.B. Oct. 5, 2020);		
13	• Apple Inc. v. Uniloc 2017 LLC, No. IPR2020-00854 (P.T.A.B October 28, 2020).		
14	III. INTEL'S FILING IN A RELATED MATTER IS JUDICIALLY NOTICABLE		
15	Courts may also take judicial notice of the positions that a party has taken in another		
16	6 litigation. See, e.g., Skilstaf, Inc. v. CVS Caremark Corp., 669 F.3d 1005, 1016 & n.9 (9th Cir.		
17	7 2012) ("The district court did not abuse its discretion by taking judicial notice of [other court]		
18	filings" where "[t]here was no dispute about the contents or about the statements from those		
19	filings."); United States v. Sullivan, 700 F. App'x 766, 767, n.2 (9th Cir. 2017) (taking judicial		
20	notice of brief filed in another appeal); Reyn's Pasta Bella, LLC v. Visa USA, Inc., 442 F.3d 741,		
21	743, 746 n.6 (9th Cir. 2006) ("We may take judicial notice of court filings and other matters of		
22	public record While some of these documents are filed under seal, they nonetheless are readily		
23	verifiable and, therefore, the proper subject of judicial notice."). Here, the Court should take		
24	judicial notice of Intel's filing in an ongoing patent litigation action with VLSI.		
25	The SAC cites VLSI's damages expert's report from VLSI Technology LLC v. Intel		
26	Corporation, 1:18-cv-00966-CFC-CJB (D. Del.) (the "Delaware Action") to support the allegation		
27	that VLSI "believes" U.S Patent No. 7,523,331, which is allegedly part of the "Preventing Stalls		
28	for Cache Misses" market, has significant value. SAC ¶ 274. Accordingly, Defendants seek		

judicial notice of Intel's position in that same case regarding the value of the '331 patent as reflected in the excerpted portions of paragraphs 147, 149, and 1227 from the report of Intel's rebuttal damages expert in the Delaware Action, Hance Huston. *See* Ex. 22.

IV. THIS COURT SHOULD CONSIDER DOCUMENTS INCORPORATED BY REFERENCE INTO THE SAC

The incorporation-by-reference doctrine "prevents plaintiffs from selecting only portions of documents that support their claims, while omitting portions of those very documents that weaken—or doom—their claims." *Khoja*, 899 F.3d at 1002. Where a document is incorporated by reference into a complaint, the court may treat such a document as part of the complaint and "may assume [its] contents are true for purposes of a motion to dismiss under Rule 12(b)(6)." *Id.* at 1003 (quoting *Marder v. Lopez*, 450 F.3d 445, 448 (9th Cir. 2006)).

Defendants seek notice of the following documents incorporated by reference into the SAC:

- VLSI/NXP B.V. Agreement Plaintiffs' allegations involve Defendant VLSI's litigation damage demands for U.S Patent No. 7,523,331, and the price at which VLSI purchased it and other patents from NXP B.V. pursuant to a written contact. See SAC ¶¶ 76-77, 274-275. Defendants seek notice of portions of that contract. See Ex. 23.
- Inventergy and INVT Licensing Letters To support their allegations against Defendants Inventergy and INVT, Plaintiffs rely on two negotiation letters sent by these two Defendants to Plaintiff Apple: (1) a January 2015 letter from Inventergy; and (2) a June 2018 letter from INVT. *See* Exs. 24-25. Defendants seek notice of those two letters.

V. CONCLUSION

For the reasons stated above, the Court should grant Defendants' request for judicial notice, as well as consider certain documents incorporated by reference into Plaintiffs' Second Amended Complaint.

1	Dated: April 26, 2021	Respectfully submitted,
2		IRELL & MANELLA LLP
3		
4		By:/s/ A. Matthew Ashley
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8		/s/ Christopher A. Seidl
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-		DEFS' REQUEST FOR JUDICIAL NOTICE ISO THEIR JOINT MOTION TO DISMISS AND STRIKE PLFS' SECOND

- 11 -

DEFS' REQUEST FOR JUDICIAL NOTICE ISO THEIR JOINT MOTION TO DISMISS AND STRIKE PLFS' SECOND AMENDED COMPLAINT Case No. 3:19-cv-07651-EMC

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2	ECF ATTESTATION
3	I, Lucas S. Oxenford, am the ECF user whose ID and password are being used to file
4	DEFENDANTS' REQUEST FOR JUDICIAL NOTICE IN SUPPORT OF THEIR JOINT
5	MOTION TO DISMISS AND STRIKE PLAINTIFFS' SECOND AMENDED COMPLAINT. I
6	hereby attest that I received authorization to insert the signatures indicated by a conformed
7	signature (/s/) within this e-filed document.
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10	By: <u>/s/ Lucas S. Oxenford</u>
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